

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A CONCENTRATED, NON-FOAMING SOLUTION OF QUATERNARY AMMONIUM COMPOUNDS AND METHODS OF USE

the specification of which is attached hereto unless the following box is checked:

☒ was filed on ***January 31, 2000*** as United States Application Number or PCT International Application Number ***09/494,374*** and was amended on _____ (if applicable).

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<i>08/840,288</i>	<i>April 14, 1997</i>	<i>Pending</i>

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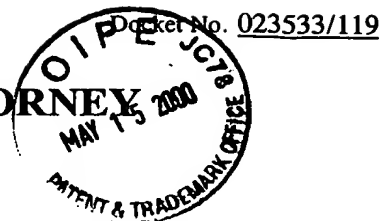
Full Name of First or Sole Inventor <i>Cesar COMPADRE</i>	Signature of First or Sole Inventor	Date
Residence Address <i>Little Rock, Arkansas</i>	Country of Citizenship <i>MEXICO (permanent U.S. resident)</i>	
Post Office Address <i>7215 Gable, Little Rock, AR 72205</i>		

Full Name of Second Inventor <i>Philip BREEN</i>	Signature of Second Inventor	Date
Residence Address <i>Little Rock, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>94 Wooklore Circle, Little Rock, AR 72211</i>		

Full Name of Third Inventor <i>Hamid SALARI</i>	Signature of Third Inventor	Date
Residence Address <i>Wayne, New Jersey</i>	Country of Citizenship <i>IRAN (permanent U.S. resident)</i>	
Post Office Address <i>73A Byrne Court, Wayne, NJ 07470</i>		

Full Name of Fourth Inventor <i>E. Kim FIFER</i>	Signature of Fourth Inventor	Date
Residence Address <i>North Little Rock, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>5908 Eagle Creek Road, North Little Rock, AR 72116</i>		

Full Name of Fifth Inventor <i>Danny L. LATTIN</i>	Signature of Fifth Inventor	Date
Residence Address <i>Brookings, South Dakota</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>1938 Victory Street, Brookings, SD 57006</i>		



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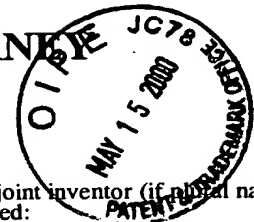
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Full Name of Sixth Inventor <i>Michael SLAVIK</i>	Signature of Sixth Inventor	Date
Residence Address <i>Springdale, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>1713 Ridgeview Drive, Springdale, AR 72762</i>		

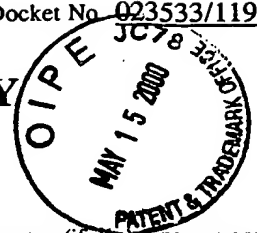
Full Name of Seventh Inventor <i>Yanbin LI</i>	Signature of Seventh Inventor	Date
Residence Address <i>Fayetteville, Arkansas</i>	Country of Citizenship <i>CHINA</i>	
Post Office Address <i>1838 Glenbrook Place, Fayetteville, AR 72701-2886</i>		

Full Name of Eighth Inventor <i>Timothy O'BRIEN</i>	Signature of Eighth Inventor	Date
Residence Address <i>Little Rock, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2625 Gristemill, Little Rock, AR 72227</i>		

Full Name of Ninth Inventor <i>Amy L. WALDROUP</i>	Signature of Ninth Inventor	Date
Residence Address <i>Springdale, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2244 Country Lane, Springdale, AR 72762</i>		

Full Name of Tenth Inventor <i>Thomas F. BERG</i>	Signature of Tenth Inventor	Date
Residence Address <i>Sheboygan, Wisconsin</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2318 North 35th Street, Sheboygan, WI 53083</i>		

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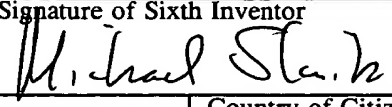
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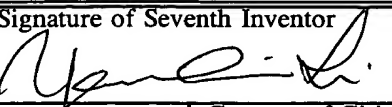
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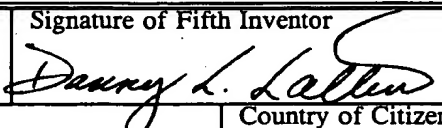
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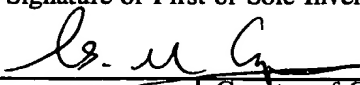
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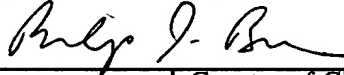
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Full Name of Fifth Inventor Danny L. LATTIN	Signature of Fifth Inventor	Date
Residence Address Brookings, South Dakota	Country of Citizenship U.S.A.	
Post Office Address 1938 Victory Street, Brookings, SD 57006		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor Cesar COMPADRE	Signature of First or Sole Inventor 	Date 3/29/00
Residence Address Little Rock, Arkansas	Country of Citizenship MEXICO (permanent U.S. resident) U.S.A.	
Post Office Address 7215 Gable, Little Rock, AR 72205		

Full Name of Second Inventor Philip BREEN	Signature of Second Inventor 	Date 3/24/00
Residence Address Little Rock, Arkansas	Country of Citizenship U.S.A.	
Post Office Address 94 Woodlore Circle, Little Rock, AR 72211 Woodlore PAB		

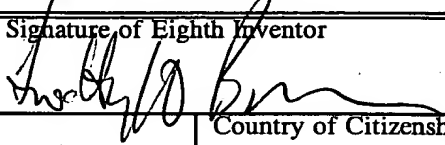
Full Name of Third Inventor Hamid SALARI	Signature of Third Inventor	Date
Residence Address Wayne, New Jersey	Country of Citizenship IRAN (permanent U.S. resident)	
Post Office Address 73A Byrne Court, Wayne, NJ 07470		

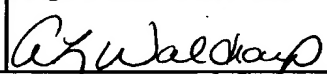
Full Name of Fourth Inventor E. Kim FIFER	Signature of Fourth Inventor 	Date 3/27/00
Residence Address North Little Rock, Arkansas	Country of Citizenship U.S.A.	
Post Office Address 5908 Eagle Creek Road, North Little Rock, AR 72116		

Full Name of Fifth Inventor Danny L. LATTIN	Signature of Fifth Inventor	Date
Residence Address Brookings, South Dakota	Country of Citizenship U.S.A.	
Post Office Address 1938 Victory Street, Brookings, SD 57006		

Full Name of Sixth Inventor <i>Michael SLAVIK</i>	Signature of Sixth Inventor	Date
Residence Address <i>Springdale, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>1713 Ridgeview Drive, Springdale, AR 72762</i>		

Full Name of Seventh Inventor <i>Yanbin LI</i>	Signature of Seventh Inventor	Date
Residence Address <i>Fayetteville, Arkansas</i>	Country of Citizenship <i>CHINA</i>	
Post Office Address <i>1838 Glenbrook Place, Fayetteville, AR 72701-2886</i>		

Full Name of Eighth Inventor <i>Timothy O'BRIEN</i>	Signature of Eighth Inventor 	Date <i>3/31/2002</i>
Residence Address <i>Little Rock, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2625 Gristemill, Little Rock, AR 72227</i> <i>2610 North Pierce, Little Rock, AR 72207</i>		

Full Name of Ninth Inventor <i>Amy L. WALDROUP</i>	Signature of Ninth Inventor 	Date <i>4/06/00</i>
Residence Address <i>Springdale, Arkansas</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2244 Country Lane, Springdale, AR 72762</i>		

Full Name of Tenth Inventor <i>Thomas F. BERG</i>	Signature of Tenth Inventor	Date
Residence Address <i>Sheboygan, Wisconsin</i>	Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2318 North 35th Street, Sheboygan, WI 53083</i>		

DECLARATION AND POWER OF ATTORNEY



As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if multiple names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A CONCENTRATED, NON-FOAMING SOLUTION OF QUATERNARY AMMONIUM COMPOUNDS AND METHODS OF USE

the specification of which is attached hereto unless the following box is checked:

☒ was filed on January 31, 2000 as United States Application Number or PCT International Application Number 09/494,374 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

APPLICATION NO.	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS: PATENTED, PENDING, ABANDONED
<u>08/631,578</u>	<u>April 12, 1996</u>	<u>Patented</u>
<u>08/840,288</u>	<u>April 14, 1997</u>	<u>Pending</u>

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Bent, Reg. No. 29,768; David A. Blumenthal, Reg. No. 26,257; William T. Ellis, Reg. No. 26,874; John J. Feldhaus, Reg. No. 28,822; Patricia D. Granados, Reg. No. 33,683; John P. Isacson, Reg. No. 33,715; Jayme A. Huleatt, Reg. No. 34,485; Michael D. Kaminski, Reg. No. 32,904; Kenneth E. Krosin, Reg. No. 25,735; Glenn Law, Reg. No. 34,371; Peter G. Mack, Reg. No. 26,001; Brian J. McNamara, Reg. No. 32,789; Sybil Meloy, Reg. No. 22,749; Richard C. Peet, Reg. No. 35,792; George E. Quillin, Reg. No. 32,792; Colin G. Sandercock, Reg. No. 31,298; Bernhard D. Saxe, Reg. No. 28,665; Charles F. Schill, Reg. No. 27,590; Richard L. Schwaab, Reg. No. 25,479; Arthur Schwartz, Reg. No. 22,115; Harold C. Wegner, Reg. No. 25,258.

Address all correspondence to FOLEY & LARDNER, 3000 K Street, NW, Suite 500, Washington, DC 20007-5109. Address telephone communications to Patricia A. Granados at (202) 672-5300.

Full Name of Sixth Inventor <i>Michael SLAVIK</i>		Signature of Sixth Inventor	Date
Residence Address <i>Springdale, Arkansas</i>		Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>1713 Ridgeview Drive, Springdale, AR 72762</i>			

Full Name of Seventh Inventor <i>Yanbin LI</i>		Signature of Seventh Inventor	Date
Residence Address <i>Fayetteville, Arkansas</i>		Country of Citizenship <i>CHINA</i>	
Post Office Address <i>1838 Glenbrook Place, Fayetteville, AR 72701-2886</i>			

Full Name of Eighth Inventor <i>Timothy O'BRIEN</i>		Signature of Eighth Inventor	Date
Residence Address <i>Little Rock, Arkansas</i>		Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2625 Gristemill, Little Rock, AR 72227</i>			

Full Name of Ninth Inventor <i>Amy L. WALDROUP</i>		Signature of Ninth Inventor	Date
Residence Address <i>Springdale, Arkansas</i>		Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2244 Country Lane, Springdale, AR 72762</i>			

Full Name of Tenth Inventor <i>Thomas F. BERG</i>		Signature of Tenth Inventor	Date
Residence Address <i>Sheboygan, Wisconsin</i>		Country of Citizenship <i>U.S.A.</i>	
Post Office Address <i>2318 North 35th Street, Sheboygan, WI 53083</i>			



Applicant or Patentee: Cesar COMPADRE et al.

Serial or Patent No.: 09/494,374

Filed or Issued: January 31, 2000

Atty. Dkt. No. 023533/0119

For: A Concentrated, Non-Foaming Solution Of Quaternary Ammonium Compounds And Methods Of Use

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27 (d)) [NONPROFIT ORGANIZATION]**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: UNIVERSITY OF ARKANSAS
ADDRESS OF ORGANIZATION: 2404 North University Avenue,
Little Rock, AR 72207-3608

TYPE OF ORGANIZATION:

- ☒ (xx) University Or Other Institution Of Higher Education
☐ () Tax Exempt Under Internal Revenue Service Code (26 Usc 501(A) And 501(C)(3))
☐ () Nonprofit Scientific Or Educational Under Statute Of State Of The United States Of America
(Name Of State) (Citation Of Statute)
☐ () Would Qualify As Tax Exempt Under Internal Revenue Service Code (26 Usc 501(A) And 501(C)(3) If Located In The United States Of America
☐ () Would Qualify As Nonprofit Scientific Or Educational Under Statute Of State Of The United States Of America If Located In The United States Of America (Name Of State)
(Citation Of Statute)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled **A Concentrated, Non-Foaming Solution Of Quaternary Ammonium Compounds And Methods Of Use** by inventor(s) **Cesar COMPADRE, Philip BREEN, Hamid SALARI, E. Kim FIFER, Danny L. LATTIN, Michael SLAVIK, Yanbin LI, Timothy O'BRIEN, Amy L. WALDROUP and Thomas F. BERG** described in

- ☐ () the specification filed herewith
☒ (xx) application serial no. 09/494,374 , filed January 31, 2000
☐ () patent no. , issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

NAME: SAFE FOODS CORPORATION
ADDRESS: 4801 Doyle Venable Drive, North Little Rock, AR 72218

☐ () INDIVIDUAL ☒ (xx) SMALL BUSINESS CONCERN ☐ () NONPROFIT CORPORATION

002.338584

Applicant or Patentee: Cesar COMPADRE et al.

Serial or Patent No.: 09/494,374

Filed or Issued: January 31, 2000

Atty. Dkt. No. 023533/0119

For: A Concentrated, Non-Foaming Solution Of Quaternary Ammonium Compounds And Methods Of Use

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate: (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Harold J. Evans

TITLE IN ORGANIZATION: Associate Vice President for Legal Affairs - Research and Technology

ADDRESS OF PERSON SIGNING: 2404 North University Avenue, Little Rock, AR 72207-3608

SIGNATURE: [Signature]

DATE: May 12, 2000



Applicant or Patentee: Cesar COMPADRE et al

Serial or Patent No.: 09/494,374

Filed or Issued: January 31, 2000

Atty. Dkt. No. 023533/0119

For: A Concentrated, Non-Foaming Solution Of Quaternary Ammonium Compounds And Methods Of Use

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27 (c)) — SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN
 ADDRESS OF CONCERN

SAFE FOODS CORPORATION
 4801 Doyle Senable Drive
 North Little Rock, AR 72118

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **A Concentrated, Non-Foaming Solution Of Quaternary Ammonium Compounds And Methods Of Use** by inventor(s) **Cesar COMPADRE, Philip BREEN, Hamid SALARI, E. Kim FIFER, Danny L. LATTIN, Michael SLAVIK, Yanbin LI, Timothy O'BRIEN, Amy L. WALDROUP and Thomas F. BERG** described in

- ☐ the specification filed herewith
☒ application serial no. 09/494,374, filed January 31, 2000.
☐ patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). * NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities: (37 CFR 1.27)

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT CORPORATION

Applicant or Patentee: Cesar COMPADRE et al.

Serial or Patent No.: 09/494,274

Atty. Dkt. No. 023533/0119

Filed or Issued: January 31, 2000

For: A Concentrated, Non-Foaming Solution Of Quaternary Ammonium Compounds And Methods Of Use

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate: (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Curtis W. Coleman

TITLE IN ORGANIZATION: President and Chief Executive Officer

ADDRESS OF PERSON SIGNING: 4801 Doyle Benable Drive
North Little Rock, AR 72118

SIGNATURE: *Curtis W. Coleman*

DATE: *May 12, 2000*